

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Sheng Dai, et al.

DOCKET NO.: 1297

SERIAL NO.:

ART UNIT:

FILED:

EXAMINER:

TITLE: Robust Carbon Monolith having Hierarchical Porosity

INFORMATION DISCLOSURE STATEMENT
under 37 CFR 1.56 and 1.97

The Commissioner for Patents
P. O. Box 1450, Alexandria, VA 22313

Sir:

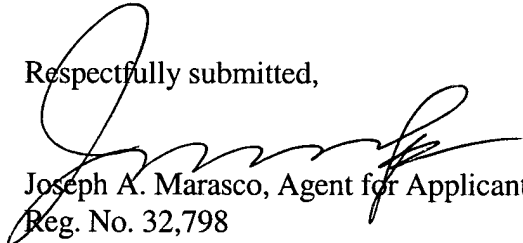
Submitted herewith on Form PTO-1449 is a listing of documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56. A copy of each document is being submitted herewith to comply with the provisions of 37 C.F.R. §§ 1.97 and 1.98.

Applicant presents these references that the Patent Office may determine any relevancy thereof to the presently claimed invention.

Applicant respectfully requests that the references be expressly considered during the prosecution of the subject application and made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicant also requests that an initialed copy of Form PTO-1449 be returned in accordance with MPEP Section 609.

Respectfully submitted,


Joseph A. Marasco, Agent for Applicants
Reg. No. 32,798
UT-Battelle, LLC
P.O. Box 2008 MS 6498
Oak Ridge, TN 37831
(865) 574-4178

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313 on

FEB 03 2004

Express Mail Label ER 029150678 US



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(Use as many sheets as necessary)***Complete if Known**

Application Number

Filing Date

First Named Inventor

Sheng Dai

Art Unit

Examiner Name

Sheet

1

of

1

Attorney Docket Number

1297

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	TAGUCHI, AKIRA, et al., "Carbon Monoliths Possessing a Hierarchical, Fully Interconnected Porosity", Adv. Mater., 2003, 15, No. 14, 1209-1211	
	2	POLARZ, S., et al., "Hierarchical Porous Carbon Structures from Cellulose Acetate Fibers", Chem. Mater., 2002, 14, 2940-2945	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.